

SOCIAL SECURITY ADMINISTRATION

FY 2020 PRESIDENT'S BUDGET

Key Tables

Table i.1 - Summary Table of SSA's Appropriation Request

FY 2020	FTE	Amount
Payments to Social Security Trust Funds		\$ 11,000,000
Supplemental Security Income (SSI) Program	-	-
FY 2020 Request	-	\$ 41,832,000,000 ¹
FY 2021 First Quarter Advance	-	\$ 19,900,000,000
Limitation on Administrative Expenses (LAE)	60,997 ²	\$ 12,773,000,000 ³
Office of the Inspector General	583 ⁴	\$ 105,500,000

¹ Excludes \$19,700,000,000, previously requested in the FY 2019 President's Budget as a first quarter advance for FY 2020.

² Includes workyears for LAE, reimbursable work, the State Children's Health Insurance Program (SCHIP), Medicare Access and CHIP Reauthorization Act (MACRA), and Medicare Savings Plan (MSP).

³ Includes \$130,000,000 for SSI State Supplementary user fees, up to \$1,000,000 for non-attorney user fees, and up to \$270,000,000 for replacement Social Security card fees.

⁴ The number of FY 2020 OIG FTEs shown reflects the correct estimate of 583 direct FTE, which is an update to the 561 FTE in the President's Budget Appendix.

Table i.2 – Administrative Budget Authority and Other Planned Obligations¹ (in millions)

	FY 2018 Actual	FY 2019 Enacted	FY 2020 Estimate	Change FY 19/FY 20
<u>Budget Authority</u>				
Base Limitation on Administrative Expenses (LAE)	\$11,019	\$11,059	\$11,060	\$1
Program Integrity Base Level	\$273	\$273	\$273	\$0
Program Integrity Cap Adjustment	\$1,462	\$1,410	\$1,309	-\$101
User Fees ²	\$119	\$135	\$131	-\$4
Subtotal, LAE Appropriation	\$12,873	\$12,877	\$12,773	-\$104
Percent change from FY 2019				-0.81%
Research	\$101	\$101	\$101	\$0
Office of the Inspector General (OIG) ³	\$106	\$106	\$106	\$0
Subtotal, Budget Authority	\$13,079	\$13,084	\$12,980	-\$104
Percent change from FY 2019				-0.79%
<u>Other Planned Obligations</u>				
No-year Information Technology	\$228	\$100	\$100	\$0
MIPPA – Low-Income Subsidy (LIS) ⁴	\$0	\$6	\$6	\$0
MIPPA - Medicare Savings Program (MSP)	\$0	\$7	\$7	\$0
SCHIP - State Children's Health Insurance	\$0	\$1	\$1	\$0
Medicare Access and Chip Reauthorization Act of 2015 (MACRA)	\$2	\$1	\$0	-\$1
Recovery Act ⁵				
Workload Processing	\$0	\$0	\$0	\$0
Economic Recovery Payments Admin	\$0	\$0	\$0	\$0
National Computer Center Replacement	\$0	\$2	\$0	-\$2
OIG Oversight	\$0	\$0	\$0	\$0
Subtotal, Other Planned Obligations	\$230	\$117	\$114	-\$3
TOTAL, BUDGET AUTHORITY AND OTHER PLANNED OBLIGATIONS	\$13,309	\$13,200	\$13,094	-\$107

¹ Totals may not equal sums of component parts due to rounding.

² Includes SSI user fees and Social Security Protection Act user fees.

³ Public Law 115-245 allows SSA to transfer up to \$10 million of program integrity funds in the LAE account to the OIG for the costs associated with jointly operated cooperative disability investigations units in FY 2019, and the Budget continues this transfer in FY 2020. This line does not reflect the transfer of \$10 million in FY 2019 and FY 2020, as the budget authority is captured as part of the LAE PI cap adjustment line.

⁴ The Medicare Improvements for Patients and Providers Act 2008 (MIPPA) provided funding through the general fund for SSA activities related to MSP outreach and transmittal of data to states and implementation of changes to the LIS program. \$27 million in carryover funds remain available, \$12 million of which are set aside for reimbursements for the LIS workload and the remaining \$15 million for MSP costs above our annual reimbursable cap of \$3 million.

⁵ Funds provided in the American Recovery and Reinvestment Act of 2009 (Recovery Act) (P.L. 111-5).

Table i.3 – SSA Full Time Equivalents and Workyears

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
SSA Full Time Equivalents	60,379	61,822	60,997	-825
SSA Overtime/Lump Sum Leave	3,200	2,657	1,748	-909
Subtotal, SSA Workyears	63,579	64,479	62,745	-1,734
Disability Determination Services (DDS) Workyears	13,665	13,551	13,148	-403
Subtotal, SSA and DDS Workyears	77,244	78,030	75,893	-2,137
MACRA Workyears	14	8	2	-6
Subtotal, SSA, DDS and MACRA Workyears	77,258	78,038	75,895	-2,143
OIG Full Time Equivalents	496	542	583 ¹	41
OIG Overtime/Lump Sum Leave	6	6	4	-2
Subtotal, OIG Workyears	502	548	587	39
TOTAL SSA/DDS/OIG WORKYEARS	77,760	78,586	76,482	-2,104

¹ The number of FY 2020 OIG FTEs shown reflects the correct estimate of 583 direct FTE, which is an update to the 561 FTE in the President’s Budget Appendix.

Table i.4 – SSA Outlays by Program (in millions)¹

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
<u>Trust Fund Programs</u>				
Old-Age and Survivors Insurance (OASI)	\$841,290	\$897,750	\$956,243	\$58,493
Disability Insurance (DI)	\$146,614	\$149,558	\$151,479	\$1,921
Subtotal, Trust Fund Programs	\$987,904	\$1,047,308	\$1,107,722	\$60,414
<u>Proposed OASDI Legislation:</u>				
Increase the Overpayment Collection Threshold for OASDI	\$0	\$0	-\$12	-\$12
Exclude SSA Debts from Discharge in Bankruptcy	\$0	\$0	-\$4	-\$4
Reduce 12-Month Retroactive DI Benefits to 6 Months	\$0	\$0	-\$316	-\$316
Subtotal, Proposed OASDI Legislation	\$0	\$0	-\$332	-\$332
Medicare Access and Chip Reauthorization Act of 2015 (MACRA)²	\$3	\$1	\$0	-\$1
<u>General Fund Programs</u>				
Supplemental Security Income (SSI)	\$55,099	\$60,519	\$61,871	\$1,352
Special Benefits for Certain World War II Veterans	\$2	\$2	\$2	\$0
Recovery Act: National Support Center	\$12	\$2	\$2	\$0
Subtotal, General Fund Programs	\$55,113	\$60,523	\$61,875	\$1,352
<u>Proposed General Fund Legislation:</u>				
Create a Sliding Scale for Multi-Recipient SSI Families	\$0	\$0	-\$784	-\$784
Improve SSI Youth Transition to Work	\$0	\$0	-\$5	-\$5
Strengthening Child Support Enforcement and Establishment	\$0	\$0	-\$5	-\$5
Special Immigrant Visa Extension	\$0	\$0	\$8	\$8
Subtotal, Proposed General Fund	\$0	\$0	-\$786	-\$786
TOTAL SSA Outlays, Current Law	\$1,043,020	\$1,107,832	\$1,169,597	\$61,765
Percent change from FY 2019				5.58 %
TOTAL SSA Outlays, Proposed Law	\$0	\$0	-\$1,118	-\$1,118
TOTAL SSA Outlays, Current & Proposed Law	\$1,043,020	\$1,107,832	\$1,168,479	\$60,647

¹ Totals may not equal sums of component parts due to rounding.

² FY 2018 outlays will not match the FY 2018 outlays included in the Budget Appendix.

Table i.5 – Current Law- OASDI Outlays and Income (in millions)

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
<u>Outlays</u>				
OASI Benefits	\$833,029	\$888,965	\$947,642	\$58,677
DI Benefits	\$143,537	\$146,411	\$148,558	\$2,147
Other ¹	\$11,338	\$11,932	\$11,522	-\$410
TOTAL OUTLAYS, Current Law	\$987,904	\$1,047,308	\$1,107,722	\$60,414
<u>Income</u>				
OASI	\$822,256	\$897,887	\$943,653	\$45,766
DI	\$170,312	\$150,408	\$145,193	-\$5,215
TOTAL INCOME, Current Law	\$992,568	\$1,048,295	\$1,088,846	\$40,551

Table i.6 – Current Law- OASDI Beneficiaries and Average Benefit Payments (in thousands)

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
<u>Average Number of Beneficiaries</u>				
OASI	51,791	53,273	54,832	1,559
DI	10,352	10,239	10,144	-95
TOTAL BENEFICIARIES	62,143	63,512	64,976	1,464
<u>Average Monthly Benefit</u>				
Retired Worker	\$1,402	\$1,453	\$1,504	\$51
Disabled Worker	\$1,192	\$1,226	\$1,260	\$34
Projected COLA Payable in January	2.0%	2.8%	2.2%	-0.6%

¹ “Other” includes SSA & non-SSA administration expenses, beneficiary services, payment to the Railroad Retirement Board, and demonstration projects.

Table i.7 – Current Law- Supplemental Security Income Outlays (in millions)

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
Federal Benefits ¹	\$50,913	\$55,905	\$57,108	\$1,203
Other ²	\$4,397	\$4,618	\$4,767	\$149
Subtotal, Federal Outlays	\$55,310	\$60,523	\$61,875	\$1,352
State Supplementary Benefits	\$2,401	\$2,715	\$2,760	\$45
State Supplementary Reimbursements	-\$2,612	-\$2,719	-\$2,764	-\$45
Subtotal, Net State Supplementary Payments³	-\$211	-\$4	-\$4	\$0
TOTAL OUTLAYS, Current Law	\$55,099	\$60,519	\$61,871	\$1,352

¹ There were 11 payments in FY 2018. There are 12 payments in FY 2019 and FY 2020.

² "Other" includes beneficiary services, research and administrative expenses.

³ States must reimburse SSA in advance for State Supplementary Payments. There will always be 12 state reimbursements in each fiscal year, but there can be 11, 12, or 13 benefit payments per fiscal year because a monthly payment is advanced into the end of the previous month anytime the due date falls on a weekend or holiday. Hence, the "Net State Supplementary Payment" numbers vary from year-to-year depending on the timing of the October benefit payments at the beginning and end of each fiscal year.

Table i.8 – SSI Recipients and Benefit Payments¹ (Recipients in thousands)

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
<u>Average Number of SSI Recipients</u>				
Federal Recipients				
Aged	1,119	1,120	1,129	9
Blind or Disabled	6,924	6,846	6,805	-41
SUBTOTAL, FEDERAL RECIPIENTS	8,043	7,966	7,934	-32
State Supplement Recipients (with no Federal SSI payment)	158	156	156	0
TOTAL SSI RECIPIENTS, Current Law	8,202	8,121	8,090	-31
<i>SSI Federal Recipients Concurrently Receiving</i>				
<i>OASDI Benefits (included above)</i>	2,744	2,720	2,714	-7
<u>Average Monthly Benefit</u>				
Aged	\$401	\$414	\$428	\$14
Blind and Disabled	\$590	\$605	\$620	\$15
AVERAGE, All SSI Recipients	\$564	\$578	\$593	\$15
Projected COLA Payable in January	2.0%	2.8%	2.2%	-0.6%

¹ Totals may not equal sums of component parts due to rounding.

**Table i.9 – Special Benefits for Certain WWII Veterans Overview
(Outlays in millions)**

	FY 2018 Actual	FY 2019 Estimate	FY 2020 Estimate	Change FY 19/FY 20
Federal Benefits	\$2	\$1	\$1	\$0
Administration	\$0 ¹	\$1	\$1	\$0
TOTAL OUTLAYS	\$2	\$2	\$2	\$0
Average Number of Beneficiaries (in thousands)	0 ²	0 ²	0 ²	0
Average Monthly Benefit	\$355	\$402	\$411	\$9

¹ Less than \$500,000.

² Estimated average number of recipients less than 500.

Table i.10 – Administrative Outlays as a Percent of Trust Fund Income and Benefit Payments - FY 2020

	Administrative Outlays¹	Trust Fund Income	Percent of Trust Fund Income
OASI	\$3,129	\$943,653	0.3%
DI	\$2,470	\$145,193	1.7%
SSN Fees	\$270	-	-
OASDI (combined)	\$5,869	\$1,088,846	0.5%

	Administrative Outlays¹	Benefit Payments	Percent of Benefit Payments
OASI	\$3,129	\$947,642	0.3%
DI	\$2,470	\$148,558	1.7%
SSN Fees	\$270	-	-
Subtotal, OASDI (combined)	\$5,869	\$1,096,200	0.5%
SSI (Federal and State)	\$4,667	\$59,868	7.8%
Other ²	\$2,551	-	-
TOTAL	\$13,087	\$1,156,068	1.1%

¹ FY 2020 Budget proposes that the total requested LAE budget authority of \$12.773 billion be offset by fees collected for replacement Social Security cards (estimated at \$270 million).

² Includes administrative outlays for Hospital Insurance and Supplemental Medical Insurance (\$2.45 billion), administrative outlays from the General Fund to OIG, SCHIP, MIPPA LIS, and Special Benefits for Certain World War II Veterans (\$38 million), reimbursables (\$58 million), and administrative outlays to the National Support Center (NSC) as provided in the Recovery Act (\$2 million). SSA’s calculation of discretionary administrative expenses excludes Treasury administrative expenses, which are mandatory outlays.

Table i.11 – Tax Rates, Wage Base and Economic Assumptions

	CY 2018	CY 2019	CY 2020	Change CY 19/CY 20
<u>Employer/Employee Rates (each)</u>				
OASDI (Social Security)	6.20%	6.20%	6.20%	0.0%
Hospital Insurance (HI) (Medicare)	1.45%	1.45%	1.45%	0.0%
EMPLOYEE TOTAL	7.65%	7.65%	7.65%	0.0%
<u>Self-Employment Rates</u>				
OASDI (Social Security)	12.40%	12.40%	12.40%	0.0%
HI (Medicare)	2.90%	2.90%	2.90%	0.0%
TOTAL	15.30%	15.30%	15.30%	0.0%
<u>Cost of Living Adjustments (COLAs)</u>				
January	2.0%	2.8%	2.2% ¹	-0.6%
<u>Contribution and Benefit Base</u>				
OASDI	\$128,400	\$132,900	\$136,800 ¹	\$3,900
HI	(no cap)	(no cap)	(no cap)	
<u>Annual Retirement Test</u>				
Year Individual Reaches Full Retirement Age	\$45,360	\$46,920	\$48,360 ¹	\$1,440
Under Full Retirement Age	\$17,040	\$17,640	\$18,120 ¹	\$480
<u>Wages Required for a Quarter of Coverage</u>	\$1,320	\$1,360	\$1,400 ¹	\$40

¹ Estimate.

Table i.12 – Selected Performance Measures

Workload and Outcome Measures	FY 2018 Actual	FY 2019 Estimate¹	FY 2020 Budget
Retirement and Survivor Claims			
Retirement and Survivor Claims Completed (thousands)	5,862	6,009	6,324
Disability Claims			
Initial Disability Claims Receipts (thousands)	2,354	2,295	2,376
Initial Disability Claims Completed (thousands)	2,307	2,295	2,241
Initial Disability Claims Pending (thousands)	565	565	700
Average Processing Time for Initial Disability Claims (days)	111	113	113
Disability Reconsiderations			
Disability Reconsiderations Receipts (thousands)	553	635	740
Disability Reconsiderations Completed (thousands)	542	597	660
Disability Reconsiderations Pending (thousands) ²	115	153	233
Average Processing Time for Disability Reconsiderations (days) ^{3,4}	103	105	105
Hearings			
Hearings Receipts (thousands)	568	511	487
Hearings Completed (thousands)	766	779	693
Hearings Pending (thousands)	858	591	385
Annual Average Processing Time for Hearings Decisions (days) ⁵	595	515	390
National 800 Number			
National 800 Number Calls Handled (millions)	32	36	36
Average Speed of Answer (ASA) (minutes)	24	13	15
Agent Busy Rate (percent)	15%	9%	12%
Program Integrity			
Periodic Continuing Disability Reviews (CDR) Completed (thousands)	2,286	1,803	1,774
Full Medical CDRs (included above, thousands)	897	703	674
SSI Non-Medical Redeterminations Completed (thousands)	2,913	2,822	2,822
Social Security Numbers (SSN) Completed (millions)	17	17	17
Annual Earnings Items Completed (millions)	284	285	285
Social Security Statements Issued (millions) ⁶	15	15	15
Selected Production Workload Measures			
Disability Determination Services Production per Workyear	310	302	306
Office of Hearings Operations Production per Workyear	103	105	107
Other Work/Service in Support of the Public-Annual Growth of Backlog (workyears)	N/A	(700)	(2,300)

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- ¹ FY 2019 estimates generally align with the targets in our FY 2019 Operating Plan. However, some estimates have changed due to updated claims receipt projections from our Office of the Chief Actuary, which affect multiple workloads.
- ² The increase in pending is a result of the phased-in reinstatement of the reconsideration process in the 10 prototype States.
- ³ Reconsideration processing times could increase slightly due to reconsideration reinstatement and the effect of a learning curve in the prototype States. We will continue to monitor closely.
- ⁴ Average processing time does not include the special processing of iAppeals claims that involve a specific universe of online appeals that claimants abandoned prior to completion.
- ⁵ Average processing time for hearings is an annual figure. End of year (September) processing time for hearings is estimated at 460 days and 330 days for FYs 2019 and 2020, respectively.
- ⁶ The Social Security Statements Issued measure includes paper statements only; it does not include electronic statements issued. In FY 2018, [my Social Security](#) users accessed their Social Security Statements 45.1 million times. In FYs 2019 and 2020, we will send paper statements to people age 60 and over who are not receiving Social Security benefits and who are not registered for a [my Social Security](#) account, consistent with FY 2018.